

## **APPENDIX A**

### **Framework for Analysis**

#### **ORIGINS**

- 1) When were PIAs first developed in the jurisdiction, by whom and for what purposes?
- 2) What is the status of PIAs in the jurisdiction? Are they required by law (if so which)? Are they policy? Are they guidance?

#### **RULES FOR CONDUCT OF PIAs**

- 3) Is there a clear definition of what a PIA is, and how it may differ from other compliance tools such as legal compliance checks and auditing?
- 4) What are the rules/expectations about who should conduct the PIA?
- 5) What factors are seen as determining which projects need PIAs?
- 6) What are the expectations/rules about consultation on PIAs throughout the process, and who are seen as stakeholders in the process? For example, corporations and agencies involved in the project, regulators, categories of affected individuals, civil society/NGOs representing or advocating for categories of affected individuals, the media, the general public.
- 7) What circulation, publication or submission rules or norms exist?
- 8) Is there an approval process for the PIA Report? If so, it is external or internal to the agency?

#### **EFFECTS**

- 9) Has there been any review of PIA experience?
- 10) As a regulator, what are the lessons learned to date? If you didn't have the existing tool in your jurisdiction and you were developing one now, what would it look like?
- 11) What do practitioners see as the key costs and benefits of PIAs for their organisations?
- 12) What sources have been influential:
  - any guidelines from other jurisdictions?
  - any academic, professional or government literature?
- 13) Can you provide or point to any:
  - case studies of PIA processes?
  - examples of PIA Reports?
  - key contacts in organisations that have completed PIAs?